

U.S. SECURITIES AND EXCHANGE
COMMISSION,

V.

Defendants.

**DEFENDANT GEORGE J. SANDHU'S FILINGS PRIOR TO TRIAL PURSUANT TO
THE COURT'S INDIVIDUAL RULE 3(B)**

- {NY019944;1}

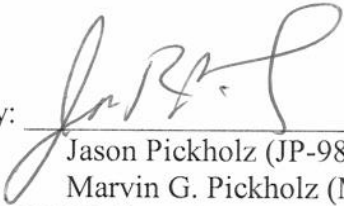
submissions pursuant to the Court's Individual Rule 3, which are due to be served and filed on or before November 12, 2007, including by motion *in limine*.

(iv) Pursuant to Individual Rule 3(B)(iv), Mr. Sandhu respectfully refers the Court to his detailed pre-trial findings of fact and conclusions of law, with citations to statutes and case law, attached hereto. Mr. Sandhu expressly reserves his right to object to any legal argument in any pretrial memorandum submitted by Plaintiff or any other party.

Dated: New York, New York
November 5, 2007

Respectfully Submitted,

AKERMAN SENTERFITT LLP

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